

# Table of contents

<b>Introduction</b>	<b>11</b>
PHILIPPE BILLIET & LAURA LOZANO	
<b>General Reflections on the Recognition and Enforcement of Foreign Class Arbitral Awards in Europe</b>	<b>21</b>
PHILIPPE BILLIET & LAURA LOZANO	
1. Introduction	21
2. The New York Convention	21
3. Common Law vs Civil Law traditions	22
4. Due Process concerns	24
5. Public Policy concerns	26
5. Conclusion	27
<b>Class Actions And Arbitration in the European Union – France</b>	<b>29</b>
YVES DERAINS & AUREORE DESCOMBES	
1. Introduction	29
2. Class actions and French law on domestic arbitration	35
2.1. Procedural issues that may constitute an obstacle to class action arbitration development in domestic arbitration	35
2.1.1. Jurisdiction <i>ratione materiae</i>	36
2.1.2. Jurisdiction <i>ratione personae</i>	38
2.2. The impossible recognition and enforcement of a domestic class action arbitration award	39
2.1.1. Tribunal constitution and arbitrators' appointment	40
2.1.2. The enforcement of due process	41
2.1.3. Public policy concerns	41
3. Class actions and French law on international arbitration	42
3.1. The enforcement in France of an award rendered abroad in an international class action arbitration	43
3.2. The organization in France of an international class action arbitration	46
4. Conclusion	47
<b>Class Actions and Arbitration Procedures – Czech Republic</b>	<b>49</b>
ALEXANDER J. BĚLOHLÁVEK	
1. Concept of Class Actions in the Czech Republic and Elsewhere, and Overview of Relevant Rules	49
1.1. Concept of Class Actions and Approach by Czech Law	49
1.2. Definition of Class Action, Reflecting Czech Law	51

1.3. Overview of Applicable Laws	52
2. Typical Elements of Class Action in Czech Domestic Law (Litigation)	53
2.1. Current Legal Framework	53
2.2. Abstaining from Unlawful Conduct / Remedying Defective State of Affairs (Section 83 of the Code of Procedure)	54
2.3. Effects of Res Judicata on Third Parties (Section 159a (2) of Code of Civil Procedure)	59
2.4. Shortcomings of Czech Legal Framework concerning enforceability of class actions as a legal institution	60
2.5. Differences between Czech Law and [Typical] Models of Class Action	61
2.6. Final Observations regarding Czech Attitude towards Institution of Class Actions	62
3. Arbitration	62
3.1. Legal Framework for Arbitration in Czech Republic	62
3.2. Amendment to Arbitration Act as of April 1, 2012; Consumer Protection in Czech Lex Arbitri	64
3.3. Non-applicability of Class Actions in Arbitration	66
3.3.1. Arbitration and Rules Applied to Court Litigation: Independence and Interdependence	66
3.3.2. Individualisation concerning Arbitration Agreements (and concerning Parties to Dispute Resolved in Arbitration)	67
3.3.3. Individualisation of Disputes; Confidentiality of Arbitration	69
3.3.4. Effects of Arbitral Award	70
3.4. Final Observations regarding Class Actions in Arbitration	70
<b>Class Actions and Arbitration – Denmark</b>	<b>73</b>
JEPPE SKADHAUGE	
1. Overview of the relevant rules	73
1.1. The Danish Administration of Justice Act and the Arbitration Act	73
1.2. Class actions	74
1.3. Consolidation of individual actions	75
2. Presentation of the national class action system	76
3. Class action and arbitration	81
3.1. The concept of “class arbitration”	81
3.2. Consolidation in arbitration	83
<b>Class Actions and Arbitration Procedures – Hungary</b>	<b>87</b>
LÁSZLÓ KECSKÉS & LAJOS WALLACHER	
1. Overview of the relevant rules	87
1.1. Brief presentation of the national collective redress system	88
1.1.1. Joinder of parties	89
1.1.2. Assignment	90
2. Collective redress and arbitration	100

2.1. Restrictions on the possibility to conduct class arbitration	100
2.1.1. Does the scope of arbitration clauses cover public actions?	101
2.1.2. Do public actions fall under exclusive jurisdiction of state courts?	103
2.1.3. Do the rules on public actions form part of <i>lex fori</i> or <i>lex causae</i> ?	104
2.1.4. When does the scope of the arbitration clause cover class arbitration?	104
2.1.5. Does the Constitution allow waiver of the right to an effective remedy before a court?	105
2.1.6. Are clauses excluding public actions or submitting disputes to class arbitration unfair?	107
2.1.7. Other forms of collective redress	109
2.2. The procedure	110
2.3. Settlement	111
2.4. Court review afterwards	111
2.5. Effects of the class award	112
2.6. Individual action next to class action	112
2.7. Conclusion	113
<b>Class Actions and Arbitration Procedures – Italy</b>	<b>115</b>
GABRIELE CRESPI REGHIZZI & MATTEO DRAGONI	
1. Class actions in general	115
1.1. Traditional forms of collective procedures in Italy	115
1.2. The recent introduction of a “class action” mechanism in Italy: relevant rules	116
2. Italian Class Actions and their peculiarities	118
2.1. Limited extension: predefined and predetermined restricted groups or classes entitled to the remedy (plaintiffs) and, above all, against whom the class proceedings can be addressed (defendants)	118
2.1.1. Users, consumers (and final consumers)	120
2.1.2. Producer, individual entrepreneurs and business organizations ( <i>impresa</i> ), other possible defendants responsible for anti-competitive or unfair commercial practices	121
2.2. Absence of a numeric prerequisite and of other “American” conditions within the Italian class action	121
2.3. The “opt-in” Italian choice	123
2.4. The Italian procedure in detail	123
2.5. Administrative or Public Administration class action	124
3. Class Actions and Arbitration	125
3.1. Arbitration in Italy. Relevant rules	125
3.2. The Arbitration Agreement	126
3.3. Jurisdiction	127

3.4. Arbitrability	127
3.5. Privity of arbitration and multiparty arbitration	128
3.6. Choice of (substantive) Law	129
3.7. Appointment of Arbitrators	130
3.8. Interim Measures	131
3.9. Appeal of an Award	131
3.10. Enforcement of an Award	132
3.11. Confidentiality	133
3.12. Arbitrability of class disputes	133
3.13. Enforcement of class awards rendered abroad	135
<b>Class Actions and Arbitration Procedures – Portugal</b>	<b>137</b>
JOSÉ MIGUEL JÚDICE & ANTÓNIO PEDRO PINTO MONTEIRO	
1. Introduction	137
2. Portuguese System of Class/Group Actions – The popular action	137
3. Class Actions Arbitrations in Portugal?	147
4. Conclusion	151
<b>Class Actions and Arbitration Procedures – Spain</b>	<b>153</b>
BERNARDO M. CREMADES & RODRIGO CORTÉS	
1. Overview of the Relevant Rules	153
2. Brief Overview of the National Class Action/Collective Redress System	153
2.1. Class Actions – A Historical Perspective	153
2.2. Collective Actions in Spain	154
2.3. Collective Action in Consumer Arbitration	155
2.4. Collective and Diffuse Interests	157
3. Class Actions / Collective Redress and Arbitration	158
3.1. The Class Arbitration Procedure	158
3.1.1. Standing for filing collective arbitration actions	158
3.1.2. Determination of the competent Arbitration Board	159
3.1.3. Problems in determining the competent Arbitration Board	160
3.1.4. Necessary acceptance of the arbitration by corporate entities	161
3.1.5. Summoning the injured parties	161
3.1.6. Content of the notification	162
3.1.7. Publication expenses	163
3.1.8. Exception for collective arbitration and suspension of petitions	163
3.1.9. Petitions subsequent to the period granted in the summons	164
3.1.10. Time period for issuing the award	164
3.2. Publication vs. Confidentiality of the Award	165
3.3. Settlement During Class Arbitration	165
4. Exequatur of Class Awards	166

5. Efficacy of the Arbitration Award issued in a Collective Consumer Arbitration Proceeding	168
6. Corporate Arbitration in the Arbitration Act	169
7. Conclusion	170
<b>Class Actions and Arbitration Procedures – Sweden</b>	<b>173</b>
HANS BAGNER, SARA RIBBEKLINT & PONTUS EWERLÖF	
1. Overview of the relevant rules	173
2. Brief presentation of the national class action system	174
2.1. The Group Proceedings Act	174
2.1.1. The conditions for group actions	174
2.1.2. Court proceedings	176
2.1.3. Evidence	177
2.1.4. Funding and costs	178
2.1.5. Appeal options	179
2.1.6. Recent Developments	179
3. Class actions and arbitration	179
3.1. Restrictions on the possibility to conduct class action arbitration	179
3.2. Enforcement of foreign class action arbitral awards	181
3.3. Individual action vs. class action	182
3.3.1. Individual arbitration vs. class litigation	182
3.3.2. Individual arbitration vs. class settlement	182
3.3.3. Individual litigation vs. class settlement	183
3.3.4. Individual settlement vs. class treatment	183
3.3.5. Individual litigation vs. class action arbitration	183
4. Conclusion	183
<b>Class Action and Arbitration Procedures – United Kingdom</b>	<b>185</b>
IAN HUNTER QC & LOUIS FLANNERY	
1. Introduction	185
2. Class action arbitrations	189
2.1. Class action arbitration rules introduced by the AAA and JAMS	193
2.2. JAMS Class Arbitration Procedure	196
2.3. Class Actions in the UK	197
3. Class arbitrations in the UK (England and Wales)	197
3.1. The principal obstacles in the way of class arbitrations under English law	198
3.2. Party autonomy under English law	199
3.3. Settlement	204
3.4. Other obstacles	205
4. Other forms of collective redress available in English law	206
5. Group litigation in England	208
6. Enforcement of foreign class arbitrations in England	211

7. Scotland	212
8. The future	213
8.1. England: Competition	215
8.2. England: Consumer affairs	217
8.3. England: Employment	219
8.4. The European Union	219
9. Conclusion	221
<b>Class Actions and Arbitration in Belgium and The Netherlands</b>	<b>223</b>
PHILIPPE BILLIET & LAURA LOZANO	
1. Introduction	223
2. Interest associations	223
2.1. Belgium	223
2.2. The Netherlands	227
3. Class actions	228
3.1. Belgium	228
3.2. The Netherlands	230
4. Conclusion	231
<b>General Conclusion</b>	<b>233</b>
PHILIPPE BILLIET & THE ASSOCIATION FOR INTERNATIONAL ARBITRATION IVZW (AIA)	
<b>List of Contributors</b>	<b>237</b>
<b>Acknowledgements</b>	<b>241</b>